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CE Council | Training Materials

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Regulatory Element, consists of a series of 4 Continuing Education programs. S201 Regulatory Continuing Education Prep Course Online FINRA, in conjunction with other self-regulatory organizations

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Continuing Education, administers the continuing education program for the securities industry. (See FINRA Rule 1240.) Continuing education consists of two mandatory programs: the Regulatory Element and the Firm Element.

Continuing Education (CE) I

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the Regulatory Element along with the Firm Element. The Firm Element is designed and implemented within each FINRA member firm.

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